

# Lecture 2: Periodic Continued Fractions and Pell's Equation

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The characterization of quadratic irrationals.

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This means: We can write a periodic C.F. as

$$\begin{aligned} &\langle b_0, b_1, \dots, b_r, a_0, a_1, \dots, a_{n-1}, a_0, a_1, \dots, a_{n-1}, \dots \rangle \\ &= \langle b_0, b_1, \dots, b_r, \overline{a_0, a_1, \dots, a_{n-1}} \rangle \end{aligned}$$

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A periodic C.F. is *purely periodic* if it has the form

$$\langle \overline{a_0, a_1, \dots, a_{n-1}} \rangle.$$

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which can be rewritten as a quadratic equation:

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Any periodic C.F. can be evaluated in this way.  
Do we always get a quadratic irrational?

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$$\begin{aligned}\theta &= \langle \overline{a_0, a_1, \dots, a_{n-1}} \rangle \\ &= \langle a_0, a_1, \dots, a_{n-1}, \theta \rangle.\end{aligned}$$

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$$\theta = \frac{\theta h_{n-1} + h_{n-2}}{\theta k_{n-1} + k_{n-2}};$$

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However, by Theorem 1.8 it cannot be rational since we have an infinite C.F.

Now consider the full C.F.

$$x = \langle b_0, b_1, \dots, b_r, \theta \rangle = \frac{\theta m + m'}{\theta q + q'},$$

where  $m'/q'$ ,  $m/q$  are the last two convergents to  $\langle b_0, b_1, \dots, b_r \rangle$ .

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“ $\Leftarrow$ ”: We skip this; it is rather technical.

Please see notes, or the book by Niven, Zuckerman & Montgomery [NZM].



## 2. Some special cases

The two results in this section are required for the following section.

### Theorem 3

*The C.F. expansion of a real quadratic irrational number  $x$  is purely periodic if and only if  $x > 1$  and  $-1 < x' < 0$ , where  $x'$  is the conjugate of  $x$ ,*

For a proof, see [NZM].

For the next result, we define recursively

$$\begin{aligned}m_{i+1} &= a_i q_i - m_i, & q_{i+1} &= \frac{d - m_{i+1}^2}{q_i}, \\x_i &= \frac{m_i + \sqrt{d}}{q_i}, & a_i &= \lfloor x_i \rfloor.\end{aligned}\tag{1}$$

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#### Theorem 4

Let  $d > 1$  be an integer, not a perfect square. Then  
(1) the simple C.F. expansion of  $\sqrt{d}$  has the form

$$\sqrt{d} = \langle a_0, \overline{a_1, a_2, \dots, a_{r-1}, 2a_0} \rangle, \quad a_0 = \lfloor \sqrt{d} \rfloor.$$

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(2) With  $x_0 = \sqrt{d}$ ,  $q_0 = 1$ ,  $m_0 = 0$  in (1) we have  $q_i = 1$  iff  $r \mid i$ , while  $q_i = -1$  holds for no  $i$ .



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The proof is once again technical, and we skip it; see the notes.

### 3. Pell's equation

The equation

$$x^2 - d y^2 = N, \quad d, N, \in \mathbb{Z}, \quad (2)$$

with integer unknowns  $x$  and  $y$ , is usually called *Pell's equation*.

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- The original case is  $N = 1$ .
- Important applications in algebraic number theory.
- If  $d$  is negative, then (2) can only have finitely many solutions.
- If  $d$  is a perfect square, say  $d = a^2$ , then (2) reduces to

$$(x - ay)(x + ay) = N,$$

which again can only have finitely many solutions.

Therefore we assume that  $d > 1$ , not a perfect square.

We expand  $\sqrt{d}$  into a C.F. as in Theorem 4, with convergents  $h_n/k_n$ , and with  $q_n$  defined by (1), with  $x_0 = \sqrt{d}$ ,  $q_0 = 1$ ,  $m_0 = 0$ .

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### Theorem 5

*If  $d$  is a positive integer not a perfect square, then for all integers  $n \geq -1$  we have*

$$h_n^2 - d \cdot k_n^2 = (-1)^{n-1} q_{n+1}.$$



## Sketch of proof:

From Lecture 1 and (1) we have

$$\sqrt{d} = x_0 = \frac{x_{n+1}h_n + h_{n+1}}{x_{n+1}k_n + k_{n+1}} = \frac{(m_{n+1} + \sqrt{d})h_n + q_{n+1}h_{n-1}}{(m_{n+1} + \sqrt{d})k_n + q_{n+1}k_{n-1}}.$$

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- Simplify this equation,
- separate into rational and purely irrational parts.
- Each part must be 0, so we get two equations;
- eliminate  $m_{n+1}$  from them.

The final result is

$$h_n^2 - d \cdot k_n^2 = (h_n k_{n-1} - h_{n-1} k_n) q_{n+1} = (-1)^{n-1} q_{n+1},$$

where we have used a result from Lecture 1 in the last step.

## Corollary 6

Let  $r$  be the period length of the expansion of  $\sqrt{d}$  in Theorem 4. Then for  $n \geq 0$  we have

$$h_{nr+1}^2 - d \cdot k_{nr+1}^2 = (-1)^{nr} q_{nr} = (-1)^{nr}. \quad (3)$$

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We'll see: *Every* solution of these equations can be obtained from the C.F. expansion of  $\sqrt{d}$ .

Note: sufficient to consider the positive solutions  $x > 0, y > 0$ .

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### Theorem 7

*Let  $x$  be an irrational number. If there is an  $r/s \in \mathbb{Q}$  with  $(r, s) = 1$  and  $s \geq 1$  such that*

$$\left| x - \frac{r}{s} \right| < \frac{1}{2s^2},$$

*then  $r/s$  is one of the convergents of the simple C.F. expansion of  $x$ .*

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**Proof:** Follows from the best approximation theorem.

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## Theorem 9

*Let  $d$  be a positive integer not a perfect square, and let the convergents of the simple continued fraction expansion of  $\sqrt{d}$  be  $h_n/k_n$ . Suppose the integer  $N$  satisfies  $|N| < d$ . Then any positive solution  $x = s, y = t$  of*

$$x^2 - d \cdot y^2 = N \quad \text{with} \quad (s, t) = 1$$

*satisfies  $s = h_n, t = k_n$  for some positive integer  $n$ .*

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**Proof** uses Theorems 7 and 8.

Combining Theorems 4, 5, and 9, we get the following result.

### Theorem 10

*Let  $d > 1$  be an integer not a perfect square, let  $h_n/k_n$  be the convergents of the C.F. expansion of  $\sqrt{d}$ , and let  $r$  be the period of the expansion of  $\sqrt{d}$  as given in Theorem 4.*

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- (1) all positive solutions of  $x^2 - d y^2 = \pm 1$  can be found among  $x = h_n, y = k_n$ ;*
- (2) if  $r$  is even, then  $x^2 - d y^2 = -1$  has no solution, and all positive solutions of  $x^2 - d y^2 = 1$  are given by  $x = h_{nr-1}, y = k_{nr-1}$  for  $n = 1, 2, 3, \dots$ ;*

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- (3) if  $r$  is odd, then  $x = h_{nr-1}, y = k_{nr-1}$  give all positive solutions of  $x^2 - d y^2 = -1$  for  $n = 1, 3, 5, \dots$ , and all positive solutions of  $x^2 - d y^2 = 1$  for  $n = 2, 4, 6, \dots$*

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Hence  $r = 7$ , and by Theorem 10 the equation has solutions, and the least positive solution is given by  $h_6/k_6$ .

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We use the recurrences from Lecture 1 to obtain successive  $h_j/k_j$ , starting with  $h_0/k_0$ :

$$\frac{8}{1}, \quad \frac{9}{1}, \quad \frac{17}{2}, \quad \frac{94}{11}, \quad \frac{487}{57}, \quad \frac{561}{68}, \quad \frac{1068}{125}.$$

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$$\sqrt{73} = \langle 8, \overline{1, 1, 5, 5, 1, 1, 16} \rangle.$$

Hence  $r = 7$ , and by Theorem 10 the equation has solutions, and the least positive solution is given by  $h_6/k_6$ .

We use the recurrences from Lecture 1 to obtain successive  $h_j/k_j$ , starting with  $h_0/k_0$ :

$$\frac{8}{1}, \quad \frac{9}{1}, \quad \frac{17}{2}, \quad \frac{94}{11}, \quad \frac{487}{57}, \quad \frac{561}{68}, \quad \frac{1068}{125}.$$

Therefore  $x = 1068$ ,  $y = 125$  is the smallest positive solution of the given equation.

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All other solutions can also be found in this way.